



DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Interface Device and Method for Interfacing Instruments  
to Medical Procedure Simulation Systems

the specification of which

         is attached hereto

  X   was filed on January 27, 1999  
as United States Application Serial No. 09/237,969  
and was amended on                      (If applicable).

I hereby state that I have reviewed and understand the contents of the above identified application, including the specification and claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 (a) - (d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. NONE

Prior Foreign Application(s) Priority Claimed

<u>                    </u> (Application Number)	<u>            </u> (Country)	<u>                    </u> (Day/Month/Year Filed)	<u>  </u> Yes	<u>  </u> No
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I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

<u>60/116,545</u> (Application Number)	<u>January 21, 1999</u> (Filing Date)
<u>60/105,661</u> (Application Number)	<u>October 26, 1998</u> (Filing Date)

60/072,672  
(Application Number)

January 28, 1998  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>08/923,477</u>	<u>September 4, 1997</u>	<u>Pending</u>
(Application SN)	(Filing Date)	Status (patented, pending, abandoned)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith:

Ira C. Edell, Reg. No. 24,119;  
Robert H. Epstein, Reg. No. 24,353;  
Aaron B. Retzer, Reg. No. 27,671;  
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Jason M. Shapiro, Reg. No. 35,354;  
Stuart B. Shapiro, Reg. No. 40,169;  
J. Andrew McKinney, Jr., Reg. No. 34,672; and  
Patrick J. Finnan, Reg. No. 39,189.

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Direct Telephone Calls to: (301) 424-3640

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor: David Alexander

Inventor's Signature David Alexander Date: 2/26/99

Residence: Purcellville, Virginia 20132, US Citizenship: US

Post Office Address: 15938 Woodgrove Road, Purcellville, Virginia 20132, US

Full name of second joint inventor: J. Michael Brown

Inventor's Signature J. Michael Brown Date: 2/25/99

Residence: Washington, D.C. 20009, US Citizenship: US

Post Office Address: 1759-1/2 R Street, NW #200, Washington, D.C. 20009, US

Full name of third joint inventor: Eric Cabahug

Inventor's Signature Eric Cabahug Date: 2/26/99

Residence: Fairfax, Virginia 22033, US Citizenship: US

Post Office Address: 12491 Lucas Drive, Fairfax, Virginia 22033, US

Full name of fourth joint inventor: Philip J. Churchill

Inventor's Signature Philip J. Churchill Date: 4/2/99

Residence: Silver Spring, Maryland 20905, US Citizenship: US

Post Office Address: 17229 Emerson Drive, Silver Spring, Maryland 20905, US

Full name of fifth joint inventor: Robert F. Cohen

Inventor's Signature Robert F. Cohen Date: 3/15/99

Residence: Burtonsville, Maryland 20866, US Citizenship: US

Post Office Address: 3827 Gateway Terrace, Burtonsville, Maryland 20866, US

Full name of sixth joint inventor: Richard L. Cunningham

Inventor's Signature Richard L. Cunningham Date: 2/25/99

Residence: Arlington, Virginia 22202, US Citizenship: US

Post Office Address: 630B South 15<sup>th</sup> Street, Arlington, Virginia 22202, US

Full name of seventh joint inventor: Ben Feldman

Inventor's Signature Ben Feldman Date: 2/26/99

Residence: McLean, Virginia 22101, US Citizenship: US

Post Office Address: 1632 Great Falls Street, McLean, Virginia 22101, US

Full name of eighth joint inventor: Diego Fontayne

Inventor's Signature Diego Fontayne Date: 3/9/99

Residence: Montebello, New York 10901, US Citizenship: US

Post Office Address: 1 Diamond Court, Montebello, New York 10901, US

Full name of ninth joint inventor: Gregory L. Merrill

Inventor's Signature Gregory L. Merrill Date: 2-25-99

Residence: Chevy Chase, Maryland 20815, US Citizenship: US

Post Office Address: 4822 Leland Street, Chevy Chase, Maryland 20815, US

Full name of tenth joint inventor: Mario Turchi

Inventor's Signature Mario Turchi Date: 3-9-99

Residence: Leonia, New Jersey 07605, US Citizenship: US

Post Office Address: 275 Leonia Avenue, Leonia, New Jersey 07605, US



DOCKET NUMBER C0136.HTM

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27 (c)) - SMALL BUSINESS CONCERN**

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Applicant or Patentee: David Alexander et al

Application or Patent No: 09/237,969

Filed: January 27, 1999

Title: Interface Device and Method for Interfacing Instruments to Medical Procedure Simulation Systems

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN:

HT Medical Systems, Inc.

ADDRESS OF SMALL BUSINESS CONCERN:

6001 Montrose Road, Suite 902  
Rockville, Maryland 20852

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and referenced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate statements stating their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization, other than the concern identified above, having any rights in the invention is listed below:

- ☒ no such other person, concern, or organization exists.
- ☐ each such other person, concern or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)


I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this statement is directed.

NAME OF PERSON SIGNING Gregory L. Merrill

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 4822 Leland Street, Chevy Chase, Maryland 20815

SIGNATURE  DATE 2-25-99